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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,501	04/27/2001	Alan Wolffe	8325-0015

CONFIRMATION NO. 9055

FORMALITIES LETTER



OC000000006296767

20855
ROBINS & PASTERNAK LLP
90 MIDDLEFIELD ROAD
SUITE 200
MENLO PARK, CA 94025

Date Mailed: 07/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. **\$370**
Applicant must submit ~~\$740~~ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27). **10/23/2001 MBERNE 00000035 09844501**
- Total additional claim fee(s) for this application is \$3356.

▪ \$1836 for 102 total claims over 20. \$918	01 FC:201	370.00 OP
▪ \$1520 for 19 independent claims over 3. \$798.-	02 FC:205	65.00 OP
	03 FC:203	918.00 OP
	04 FC:202	798.00 OP
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4196. **\$65**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

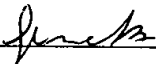
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at

63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Atty Dkt No. 8325-0015
S15-US1
PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 16 Oct 2001.

10/16/01
Date

Susan LaMont
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

WOLFFE et al.

Serial No.: 09/844,501

Group Art Unit: 1645

Filing Date: April 27, 2001

Examiner: Unassigned

Title: DATABASES OF REGULATORY SEQUENCES; METHODS OF
MAKING AND USING SAME

STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE
WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby states that the content of the attached papers and the computer-readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. §§ 1.821(c) and (e), respectively, are the same.

Respectfully submitted,

Date: 16 Oct 2001

By: Dahna S. Pasternak
Dahna S. Pasternak
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Small Entity Determination in the U.S. for the Case Entitled "DATABASES OF
REGULATORY SEQUENCES; METHODS OF MAKING AND USING SAME"
(Atty Dkt No. 8325-0015)

PLEASE READ AND MAKE THE APPROPRIATE SELECTION

Small entity according to U.S. Patent Office rules applies to patents owned by inventors, small business concerns with fewer than 500 employees, and non-profit organizations. If there is any assignment of rights or obligation to assign rights to a large entity, i.e. a firm with more than 500 employees, then large entity applies.

☒ According to the above description, Sangamo BioSciences is a small entity in the U.S.
☐ According to the above description, Sangamo BioSciences is a large entity in the U.S.

Signature: Sean Brennan
Name: Sean Brennan
Title: Assoc. Director, IP

Date: 9.19.01